

Responsible Vendor Qualifications Checklist

This checklist will be utilized by the Division of Alcoholic Beverages and Tobacco (ABT) to determine the degree to which a licensed vendor has met the qualifications set-out in **Section 561.705, Florida Statutes, Responsible vendor qualifications**, prior to the last violation date cited in the Notice to Show Cause. The vendor and the ABT inspector must answer all questions Yes or No on the checklist.

Question on Qualifications

Section 561.703 Florida Statute – Definitions relating to Florida Responsible Vendor Act

“Vendors” means a person who is licensed pursuant to this chapter, chapter 563, chapter 564, or chapter 565, to sell or serve alcoholic beverages. However, vendors at grocery or drug stores licensed under the provisions of s. 563.02(1)(a) or s. 564.02(1)(a), whose premises are in excess of 5,000 square feet of floor space, shall be exempt from the provisions of this act.

	Vendor	Inspector
As a “Vendor” does your business qualify under the provisions of s. 561.703 listed above?	☐ Yes ☐ No	☐ Yes ☐ No

Questions on Training

	Vendor	Inspector
1. The vendor provides an alcoholic beverage course covering:		
a. Alcoholic beverage service and establishment operation laws.	☐ Yes ☐ No	☐ Yes ☐ No
b. Effects of alcoholic beverages on the body, individual behavior and motor vehicle operations.	☐ Yes ☐ No	☐ Yes ☐ No
c. Methods for recognizing and dealing with underage customers.	☐ Yes ☐ No	☐ Yes ☐ No
d. Effects of alcohol in combination with the use of controlled substances.	☐ Yes ☐ No	☐ Yes ☐ No
e. Effects of controlled substances on the body, behavior and motor vehicle operations.	☐ Yes ☐ No	☐ Yes ☐ No
f. Methods for dealing with customers and employees who use or traffic in controlled substances.	☐ Yes ☐ No	☐ Yes ☐ No
2. Each non-managerial employee who is employed to serve alcoholic beverages or checks ID's has completed a server training course within 30 days of employment.	☐ Yes ☐ No	☐ Yes ☐ No
3. Each non-managerial employee who is employed to serve alcoholic beverages is supervised prior to taking the course.	☐ Yes ☐ No	☐ Yes ☐ No
4. The vendor provides an alcohol server management course which covers:		
a. Alcoholic beverage service and establishment operation laws.	☐ Yes ☐ No	☐ Yes ☐ No
b. Effects of alcoholic beverages on the body, individual behavior and motor vehicles operation.	☐ Yes ☐ No	☐ Yes ☐ No
c. Methods for recognizing and dealing with underage customers.	☐ Yes ☐ No	☐ Yes ☐ No
d. Methods for maintaining records regarding incidents involving underage customers and beverage law.	☐ Yes ☐ No	☐ Yes ☐ No
e. Development of standard operating procedures for dealing with customers and employees who use or traffic in controlled substances.	☐ Yes ☐ No	☐ Yes ☐ No
5. All managers have completed an alcohol server management course within 15 days of employment.	☐ Yes ☐ No	☐ Yes ☐ No
6. All employees have attended tri-annual meetings on responsible vending subjects, policies and procedures.	☐ Yes ☐ No	☐ Yes ☐ No

Questions on Records

	Vendor	Inspector
1. Each employee has completed a questionnaire assessing whether the employee is precluded by law from serving or selling alcoholic beverages.	☐ Yes ☐ No	☐ Yes ☐ No
2. The vendor has a written policy which states that an employee who engages in the illegal use of controlled substances on the licensed premises shall be immediately dismissed.	☐ Yes ☐ No	☐ Yes ☐ No
3. Each employee has acknowledged, in writing, they vendors policy regarding on premises use of controlled substances.	☐ Yes ☐ No	☐ Yes ☐ No
4. The vendor has:		
a. Employee applications with the date of hire.	☐ Yes ☐ No	☐ Yes ☐ No
b. Employee acknowledgements on training, policies and procedures.	☐ Yes ☐ No	☐ Yes ☐ No
c. Records of employees dismissals for on premises use of controlled substances.	☐ Yes ☐ No	☐ Yes ☐ No
d. Training records.	☐ Yes ☐ No	☐ Yes ☐ No
e. Tri-annual meeting records.	☐ Yes ☐ No	☐ Yes ☐ No

Question on Signs Posted Before Violations

	Vendor	Inspector
1. The vendor posts signs information customers that:		
a. Underage customers will not be served alcoholic beverages.	☐ Yes ☐ No	☐ Yes ☐ No
b. Purchase of alcoholic beverages by underage persons will result in ejection from the premises and prosecution.	☐ Yes ☐ No	☐ Yes ☐ No
c. Illegal use of trafficking in controlled substances will result in ejection from the premises and prosecution.	☐ Yes ☐ No	☐ Yes ☐ No

Vendor's Acknowledgement and Agreement Section

I acknowledge that I have maintained all of the requirements and qualifications outlined in section 561.705, Florida Statutes, prior to a Notice To Show Cause being issued to the ownership of the business and/or agree to comply with, and maintain the requirements and qualifications outlined in section 561.705, Florida Statutes, from this day forth.

Signature of Licensee or Licensee's Representative: _____

Title: _____ **Date:** _____

Inspector's Verification Section

This is to confirm that I have inspected and reviewed all of the questions, policies, records, and signs required by this Responsible Vendor Checklist. The inspection has disclosed that the Vendor () is in compliance or () is not in compliance with section 561.705, Florida Statutes.

Signature of Inspector: _____

Title: _____ **Date:** _____

Comments/Notes: _____

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